

JC971 U.S. PTO

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PTO/SB/06 (08-00)  
Approved for use through 9/30/2000. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|  |   |
|--|---|
| Attorney Docket No.                      | P00345-US1                                  |
| First Inventor or Application Identifier | McCullough, Kevin                           |
| Title                                    | THERMALLY CONDUCTIVE ELECTRONIC DEVICE CASE |
| Express Mail Label No.                   | EL610752244                                 |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
  2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
  3. ☒ Specification [Total Pages (preferred arrangement set forth below)
    - Descriptive title of the invention
    - Cross Reference to Related Applications
    - Statement Regarding Fed sponsored R & D
    - Reference to sequence listing, a table, or a computer program listing appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings (if filed)
    - Detailed Description
    - Claim(s)
    - Abstract of the Disclosure
  4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets   - 5. Oath or Declaration [Total Pages   - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label  or ☒ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

|         |  |           |              |          |          |
|---------|--|-----------|--------------|----------|----------|
| Name    | David R. Josephs   |           |              |          |          |
| Address | Barlow, Josephs & Holmes, Ltd.<br>101 Dyer Street, Suite 501 |           |              |          |          |
| City    | Providence   | State     | RI           | Zip Code | 02903    |
| Country | US   | Telephone | 401-273-4446 | Fax      | 273-4447 |

|                   |                         |                                   |            |
|-------------------|-------------------------|-----------------------------------|------------|
| Name (Print/Type) | David R. Josephs        | Registration No. (Attorney/Agent) | 34,632     |
| Signature         | <i>David R. Josephs</i> | Date                              | 11/29/2000 |

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

## Complete if Known

Application Number  
Filing Date  
First Named Inventor **McCullough, Kevin**  
Examiner Name  
Group / Art Unit  
Attorney Docket No. **P00345-US1**

TOTAL AMOUNT OF PAYMENT **\$355.00**

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-0900**

Deposit Account Name **Barlow, Josephs & Holmes**

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR § 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity Code | Small Entity Code | Fee (\$) | Fee Description           | Fee Paid        |
|-------------------|-------------------|----------|---------------------------|-----------------|
| 101               | 710               | 201      | 355 Utility filing fee    | <b>355.00</b>   |
| 106               | 320               | 206      | 160 Design filing fee     |                 |
| 107               | 490               | 207      | 245 Plant filing fee      |                 |
| 108               | 710               | 208      | 355 Reissue filing fee    |                 |
| 114               | 150               | 214      | 75 Provisional filing fee |                 |
| SUBTOTAL (1)      |                   |          |                           | <b>\$355.00</b> |

#### 2. EXTRA CLAIM FEES

| Extra Claims                                 | Fee from below | Fee Paid    |
|--|----------------|-------------|
| Total Claims <b>12</b> - 20** = <b>0</b>     | X              | <b>0.00</b> |
| Independent Claims <b>3</b> - 3** = <b>0</b> | X              | <b>0.00</b> |
| Multiple Dependent                           |                |             |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Entity Code | Small Entity Code | Fee (\$) | Fee Description  |
|-------------------|-------------------|----------|--|
| 103               | 18                | 203      | 9 Claims in excess of 20                                     |
| 102               | 80                | 202      | 40 Independent claims in excess of 3                         |
| 104               | 270               | 204      | 135 Multiple dependent claim, if not paid                    |
| 109               | 80                | 209      | 40 ** Reissue independent claims over original patent        |
| 110               | 18                | 210      | 9 ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) **\$0.00**

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity Code | Small Entity Code | Fee (\$) | Fee Description   | Fee Paid |
|-------------------|-------------------|----------|---|----------|
| 105               | 130               | 205      | 65 Surcharge - late filing fee or oath  |          |
| 127               | 50                | 227      | 25 Surcharge - late provisional filing fee or cover sheet                     |          |
| 139               | 130               | 139      | 130 Non - English specification   |          |
| 147               | 2,520             | 147      | 2,520 For filing a request for ex parte reexamination                         |          |
| 112               | 920*              | 112      | 920* Requesting publication of SIR prior to Examiner action                   |          |
| 113               | 1,840*            | 113      | 1,840* Requesting publication of SIR after Examiner action                    |          |
| 115               | 110               | 215      | 55 Extension for reply within first month                                     |          |
| 116               | 390               | 216      | 195 Extension for reply within second month                                   |          |
| 117               | 890               | 217      | 445 Extension for reply within third month                                    |          |
| 118               | 1,390             | 218      | 695 Extension for reply within fourth month                                   |          |
| 128               | 1,890             | 228      | 945 Extension for reply within fifth month                                    |          |
| 119               | 310               | 219      | 155 Notice of Appeal  |          |
| 120               | 310               | 220      | 155 Filing a brief in support of an appeal                                    |          |
| 121               | 270               | 221      | 135 Request for oral hearing  |          |
| 138               | 1,510             | 138      | 1,510 Petition to institute a public use proceeding                           |          |
| 140               | 110               | 240      | 55 Petition to revive - unavoidable   |          |
| 141               | 1,240             | 241      | 620 Petition to revive - unintentional  |          |
| 142               | 1,240             | 242      | 620 Utility issue fee (or reissue)  |          |
| 143               | 440               | 243      | 220 Design issue fee  |          |
| 144               | 600               | 244      | 300 Plant issue fee   |          |
| 122               | 130               | 122      | 130 Petitions to the Commissioner   |          |
| 123               | 50                | 123      | 50 Petitions related to provisional applications                              |          |
| 126               | 240               | 126      | 240 Submission of Information Disclosure Statement                            |          |
| 581               | 40                | 581      | 40 Recording each patent assignment per property (times number of properties) |          |
| 146               | 710               | 246      | 355 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
| 149               | 710               | 249      | 355 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
| 179               | 710               | 279      | 355 Request for Continued Examination (RCE)                                   |          |
| 169               | 900               | 169      | 900 Request for expedited examination of a design application                 |          |

Other fee (specify) \_\_\_\_\_

Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

### SUBMITTED BY

|                   |                         |                                   |                   |           |                     |
|-------------------|-------------------------|-----------------------------------|-------------------|-----------|---------------------|
| Name (Print/Type) | <b>David R. Josephs</b> | Registration No. (Attorney/Agent) | <b>34,632</b>     | Telephone | <b>401-273-4446</b> |
| Signature         | <i>David R. Josephs</i> | Date                              | <b>11/29/2000</b> |           |                     |

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